



Graduate Diploma in Compliance in Financial Services Faculty List

Module Name	Faculty	Qualifications	Conferring Institute	PT / FT
Compliance Risk and Compliance Culture Capstone Project: Integrated Compliance View	Professor Urs Zulauf	PhD in Law	University of Bern	PT
Compliance Strategy, Policy and Advice Capstone Project: Integrated Compliance View Handling Serious Compliance Incidents & Performance Management	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology Masters of Arts Masters of Law	Brandeis University, Massachusetts, USA University of Cambridge, UK National University of Singapore	FT
Retail Banking and Fintech Regulation	Ms Loretta Yuen	Bachelor of Laws	National University of Singapore	PT
Financial Crime Regulation	Ms Mabel Ha	Master of Business Administration	Rotterdam School of Management	PT
Private Client Compliance Lifecycle and External Asset Managers	Mr Rolf Haudenschild	Master of Laws in International Financial Law	King's College London	PT

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Wholesale Banking & Capital Markets Regulation	Mr Chiew Tuan York	Master of Applied Finance Bachelor of Laws (LLB) Bachelor of Accountancy	Macquarie University University of London Nanyang Technological University	PT
Compliance in Asset Management and Financial Advisory Services	Ms Grace Chong	Master of Business Administration MBA	Nanyang Technological University	PT
Compliance Monitoring Surveillance and Controls	Mr Mirko Jurisic	Master of Economics	University of Zagreb	PT
Compliance Data Analytics	Mr Raymond Choa	Master of Business Administration	University of Warwick	PT
Compliance/Regtech and Project Management	Mr Ralf Huber	Master of Law	University of Zurich	PT
Handling Serious Compliance Incidents & Performance Management	Mr Peter Ladd	Bachelor of Laws	Deakin University, Melbourne	PT