



## Graduate Diploma in Compliance in Financial Services

### Faculty List

Module Name	Faculty	Qualifications	Conferring Institute	PT / FT
Compliance Risk and Compliance Culture	Professor Urs Zulauf	PhD in Law	University of Bern	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT
Compliance Strategy, Policy and Advice	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT
Retail Banking and Fintech Regulation	Ms Loretta Yuen	Bachelor of Laws	National University of Singapore	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT



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Financial Crime Regulation	Ms Mabel Ha	Master of Business Administration	Rotterdam School of Management	PT
	Ms Rosalind Lazar	Master of Law	Columbia School of Law	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT
Compliance in Private Banking and Wealth Management	Mr Rolf Haudenschild	Master of Law in International Financial Law	King's College London	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT



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Wholesale Banking & Capital Markets Regulation	Mr Chiew Tuan York	Master of Applied Finance  Bachelor of Law (LLB)  Bachelor of Accountancy	Macquarie University  University of London  Nanyang Technological University	PT
	Mr Mark Ho	Bachelor of Law (LLB)	University of Toronto	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT
	Ms Mathilde Swierczynska	Master of Law  Master of Law	Sorbonne University, France  University of Cologne, Germany	PT
Compliance in Asset Management and Financial Advisory Services	Ms Grace Chong	Master of Business Administration MBA	Nanyang Technological University	PT
	Mr Rolf Haudenschild	Master of Law in International Financial Law	King's College London	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology  Master of Arts  Master of Law	Brandeis University, Massachusetts, USA  University of Cambridge, UK  National University of Singapore	FT



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Compliance Monitoring Surveillance and Controls	Mr Mirko Jurisic	Master of Economics	University of Zagreb	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology	Brandeis University, Massachusetts, USA	FT
		Master of Arts	University of Cambridge, UK	
		Master of Law	National University of Singapore	
Compliance Data Analytics	Mr Raymond Choa	Master of Business Administration	University of Warwick	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology	Brandeis University, Massachusetts, USA	FT
		Master of Arts	University of Cambridge, UK	
		Master of Law	National University of Singapore	
Compliance/Regtech and Project Management	Mr Ralf Huber	Master of Law	University of Zurich	PT
	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology	Brandeis University, Massachusetts, USA	FT
		Master of Arts	University of Cambridge, UK	
		Master of Law	National University of Singapore	



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Handling Serious Compliance Incidents & Performance Management	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology	Brandeis University, Massachusetts, USA	FT
		Master of Arts	University of Cambridge, UK	
		Master of Law	National University of Singapore	
	Ms Mathilde Swierczynska	Master of Law	Sorbonne University, France	PT
		Master of Law	University of Cologne, Germany	
Capstone Project: Integrated Compliance View	Ms Sharon Craggs	Master of Science Digital Innovation and Financial Technology	Brandeis University, Massachusetts, USA	FT
		Master of Arts	University of Cambridge, UK	
		Master of Law	National University of Singapore	
	Professor Urs Zulauf	PhD in Law	University of Bern	PT