

Certified Compliance Officer

Led by senior leaders and practitioners in Singapore's financial services industry, the Certified Compliance Officer programme offers new joiners or mid-career switchers a comprehensive overview of the latest regulatory developments and practical guidance on implementing and enforcing compliance policies effectively. Participants will learn about critical regulations such as MAS Notice 626, the Banking Act, SFA, and FAA, as well as risk management, and data privacy. The interactive learning environment enables engagement with senior leaders and peers alike. Upon completion, participants will be equipped with the knowledge and tools necessary to enhance compliance practices, mitigate risks, and promote ethical behaviour in their financial institutions.

Click here to register for an information session to find out more about the WMI Certified Compliance Officer programme and how it will impact you and your career.

Curriculum

Two modes of study: Standalone and/or Stackable

Each module covers different topics and subject matters. They can be taken individually or together, to cater to the varying focus and interests of each firm, talent and professional. Participants must complete a total of 4 modules – 3 compulsory modules and 1 specialist module out of the 3 possible options. A participant who passes all 3 compulsory modules and 1 specialist module will be awarded the Certified Compliance Officer certification.

Compulsory Modules

- Foundation Compliance - Ethics, Risks and Culture (30 May 2024)
- Foundation Compliance Policy (8 July 2024)
- Ethics, Regulations and Compliance – Financial Products & Regulations (E-learning – Free Access)

Specialist Modules (choose one of three)

- Foundation Financial Crime Compliance (18 April / 22 July 2024)
- Foundation Compliance Analytics (29 April / 14 August 2024)
- Foundation Regulatory Compliance (18 March / 17 July 2024)

This programme is currently undergoing IBF accreditation and may be subject to change

Fees & Funding

The programmes are accredited under Institute of Banking and Finance Standard Training Scheme (IBF-STS) and Singaporeans and Permanent Residents (PR) are eligible for enhanced fee subsidies.

	Fees <u>before</u> Subsidy (incl. of GST)	Fees <u>after</u> IBF Subsidy (incl. of GST)	
		Singaporean Age Below 40 / PR (50%* IBF-STS Funding)	Singaporean Age 40 & Above (70% IBF-STS Funding)
Total Fees (3 Compulsory Modules + 1 Specialist Module)	\$4,251.00	\$2,301.00	\$1,521.00

Note: a non-refundable application fee of S\$85 is required upon application submission.

NTUC members enjoy 50% unfunded# course fee support for up to \$250 each year when you sign up for courses supported under Union Training Assistance Programme (UTAP). NTUC members aged 40 and above will get increased funding support from \$250 to \$500.

#Unfunded course fee refers to the balance course fee payable after applicable government subsidies. This may include materials fees, registration fees and misc fees.

Contact Us

For more details or to register, please email us at wmicompliance@wmi.edu.sg