

Certified Compliance Officer Level 2 (Regulatory Compliance)

In recent years, the compliance function in financial services has faced numerous new complex challenges in complying with regulations both old and new. To succeed in their roles, compliance practitioners should first understand better the expectations and perspectives of their key stakeholders - regulators, senior management and compliance leadership in their organisations.

This programme equips you with a solid grasp of the fundamentals of compliance culture and risk, compliance strategy and planning, regulatory technologies and project planning skills. You may choose a specialization ranging from retail banking, private banking, asset management or wholesale banking, depending on the particular area you are practicing or wish to gain mastery in.

Curriculum

All sessions are interactive including teaching, case studies, group break outs and discussions and assessments are similarly designed to ensure skills learnt are thoroughly understood and grasped in practice and can be applied to work life circumstances. Participants must complete 4 modules - 3 compulsory modules and 1 module out of the 5 possible options:

Compulsory modules:

1. Compliance Risk and Compliance Culture
2. Compliance Strategy, Policy and Advice
3. Compliance/Regtech & Project Management

Optional modules:

1. Retail Banking and Fintech Regulation
2. Private Client Compliance Lifecycle and External Asset Managers
3. Wholesale Banking and Capital Markets Regulation
4. Compliance in Asset Management and Financial Advisory Services
5. Handling Serious Compliance Incidents and Performance Management

Fees & Funding

The programmes are accredited under Institute of Banking and Finance Standard Training Scheme (IBF-STs) and Singaporeans and Permanent Residents (PR) are eligible for enhanced fee subsidies.

	Fees before Subsidy (incl. of GST)	Fees <u>after</u> IBF Subsidy (incl. of GST)			
		Singaporean Age Below 40 / PR (70%* IBF-STs Funding)		Singaporean Age 40 & Above (90%* IBF-STs Funding)	
		Company-Sponsored	Self-Sponsored	Company-Sponsored	Self-Sponsored
Module 1,2	S\$2,782	S\$962	S\$834.60	S\$442	S\$278.20
Module 3 and Optional	S\$2,782	S\$1,508	S\$1,508	S\$988	S\$988

*Terms and conditions apply

Contact Us

For more details or to register, please email us at govaccred@wmi.edu.sg